

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 033337/0125

N Sector A

Applicant: Zhengchen YU et al.

O I P E  
Title:  
DEC 17 2001

TERMINALS HAVING SUB-BAND SUBSTITUTE SIGNAL CONTROL IN  
OPTICAL COMMUNICATION SYSTEMS

Appl. No.: 09/939,784

Filing Date: August 28, 2001

Examiner: Not Yet Assigned

Art Unit: 2633

TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231  
Attn: BOX MISSING PARTS

Sir:

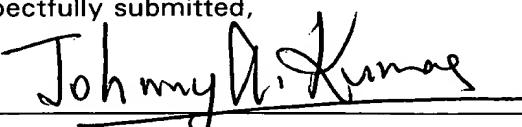
This is in reply to the Notice to File Missing Parts of Application mailed on October 16, 2001 in the above-identified application. The due date to file these documents without an extension of time fee is Monday, December 17, 2001, as December 16, 2001 fell on a Sunday. Transmitted herewith are the missing parts needed to complete the filing of the subject patent application. Enclosed are:

- Declaration and Power of Attorney (4 pages)
- Substitute Specification with 1-1/2 line spacing
- Check in the amount of \$615.00 in payment of the \$370.00 basic filing fee, the \$180.00 fee for claims in excess of 20, and the \$65.00 surcharge fee (37 C.F.R. § 1.16(e))
- Copy of Notice to File Missing Parts

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By

  
\_\_\_\_\_  
Johnny A. Kumar

December 17, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER 09/939,784	FILING/RECEIPT DATE 08/28/2001	FIRST NAMED APPLICANT Zhengchen Yu	ATTORNEY DOCKET NUMBER 033337-0125
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DEC 17 2001  
JSCA

PATENT & TRADEMARK OFFICE

CONFIRMATION NO. 2840

## FORMALITIES LETTER



\*OC000000006913368\*

Johnny A. Kumar  
 FOLEY & LARDNER  
 Washington Harbour  
 3000 K Street, N.W., Suite 500  
 Washington, DC 20007-5109

Date Mailed: 10/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 20 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 600.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

12/13/2001 AG01TG  
 00000040 09939784  
 370.00 OP  
 65.00 OP  
 180.00 OP  
 01 FC:201  
 02 FC:205  
 03 FC:203

*A copy of this notice MUST be returned with the reply.*

D. Wadley

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE